

**GHANA HEALTH SERVICE
ETHICAL REVIEW COMMITTEE ON RESEARCH INVOLVING HUMAN SUBJECTS (ERCRIHS)
(CHECKLIST)**

PI NAME:

Project ID (To be given by the Secretariat)

Title of Project:		PI TO COMPLETE														
		Yes	No	N/A												
Vulnerable/High Risk Group																
1	Is a vulnerable population being studied?	€	€	<input type="checkbox"/>												
If yes, tick the vulnerable population being studied?																
	<table border="0" style="width: 100%;"> <tr> <td style="width: 33%;">€ Pregnant women</td> <td style="width: 33%;">€ Elderly</td> <td style="width: 33%;">€ Prisoners</td> </tr> <tr> <td>€ Adolescents</td> <td>€ Refugees</td> <td>€ Persons with mental or Behavioural disorders</td> </tr> <tr> <td>€ Children</td> <td>€ Those who cannot give consent (unconscious)</td> <td>€ Others</td> </tr> <tr> <td></td> <td>€ Other (Specify)</td> <td></td> </tr> </table>	€ Pregnant women	€ Elderly	€ Prisoners	€ Adolescents	€ Refugees	€ Persons with mental or Behavioural disorders	€ Children	€ Those who cannot give consent (unconscious)	€ Others		€ Other (Specify)				
€ Pregnant women	€ Elderly	€ Prisoners														
€ Adolescents	€ Refugees	€ Persons with mental or Behavioural disorders														
€ Children	€ Those who cannot give consent (unconscious)	€ Others														
	€ Other (Specify)															
2	Is the justification for studying this vulnerable population adequate?	€	€	€												
3.	Have adequate provisions been made to ensure that the vulnerable population is not being exploited?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>												
Responsible Technical Officer's Comments:																
Scientific and Technical Issues																
1.	Is the rationale for the study clearly stated in the context of present knowledge?	€	€	€												
2.	Is the hypothesis to be tested fully explained?	€	€	€												
3.	Is the project design scientifically sound?	€	€	€												
4.	Where present, is the control arm adequate?	€	€	€												
5.	Are the inclusion and exclusion criteria complete and appropriate?	€	€	<input type="checkbox"/>												
6.	Are the types and methods for subject allocation appropriate?	€	€	€												
7.	Are the procedures for participant recruitment, admission, follow up and completion appropriate?	€	€	€												
8.	Are the drugs and/or devices to be used fully described?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>												
9.	Does the project design include appropriate criteria for stopping and discontinuing the research?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>												
10.	Are the clinical procedures to be carried out fully described and appropriate?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>												
11.	Are the laboratory tests and other diagnostic procedures fully described and appropriate?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>												
12	Is the Statistical basis for the study design appropriate and is the plan for analysis of the data appropriate?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>												
13.	Has the Protocol undergone scientific review? (if applicable please provide evidence)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>												
Responsible Technical Officer's Comments:																

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Informed Consent, Decision-making & Confidentiality				
1.	Is the information sheet free of technical terms, written in laypersons' language, easily understandable, complete & adequate?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2.	Does it make it clear that the proposed study is research?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3.	Does it explain why the study is being done and why the subject is being asked to participate	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4.	Does it clearly state the duration of the research?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5.	Does it provide participants with a full description of the nature, sequence and frequency of the procedures to be carried out?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6.	Does it explain the nature and likelihood of anticipated discomfort or adverse effects, including psychological and social risks, if any-and what has been done to minimize these risks, and the action to be taken if they occur?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7.	Does it outline the possible benefits, if any, to the research participants	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8.	Does it outline the possible benefits, if any, to the community or to society?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9.	If confidentiality is not possible due to the research design, has this been conveyed to all relevant persons?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
10.	Does it inform the research participants that their participation is voluntary and refusal to participate (or discontinue participation) will involve no penalty or loss of medical benefits to which the participant was otherwise entitled?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
11.	Does it describe the nature of any compensation or reimbursement to be provided?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
12.	Does it provide the alternatives to participation?	<input type="checkbox"/>	<input type="checkbox"/>	€
13.	Does it provide the name and contact information of a person who can provide more information about the research project at any time?	€	€	€
14.	Has provision been made for subjects incapable of reading and signing the written consent form (e.g. illiterate patients)? (Please attach)	€	€	€
15.	Does it conclude with a statement such as "I have read the foregoing information, or it has been read to me. I have had the opportunity to ask questions about it and any question I have asked have been answered to my satisfaction. I consent voluntarily to participate as a subject in this study and understand that I have the right to withdraw from the study at any time without in any way it affecting my further medical care"	€	€	€

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16.	Does it provide information to the research participants on the costs to the participants involved in terms of time, travel, man-days lost from work, etc. and reimbursements, if any?	€	€	€
17.	Has provision been made for subjects incapable of giving personal consent (e.g. for cultural reasons, children or adolescents less than the legal age for consent in the country in which research is taking place, subjects with mental illness, etc)? (Please attach).	€	€	€
18.	Does it outline the procedure that will be followed to keep participants informed of the progress and outcome of the research?	€	€	€
Responsible Technical Officer's Comments:				
Other materials, documents and study instruments (Patient recruitment material, Questionnaires				
1	Is the Participant Recruitment Material (e.g. advertisements, notices, media articles, transcripts of radio messages) provided both in English and in the local language?	€	€	€
2.	Do these materials make claims that may not be true?	€	€	€
3.	Do they make promises that may be inappropriate in the research setting (e.g. provide undue incentives or emphasize remuneration)?	€	€	€
4.	Does the study involve questionnaires, diaries, study instrument?	€	€	€
5.	Are these attached to the proposal (In English and local language)?	€	€	€
6.	Are the questionnaires written in lay language and easily understood?	€	€	€
7.	Are the questionnaires relevant to answer the research question?	€	€	€
8.	Are the questionnaires worded sensitively?	€	€	€
9.	Does the consent information and form describe the nature and purpose of the questions to be asked?	€	€	€
10.	If applicable, does the consent information and form make it clear that some of the questions may prove embarrassing for the participant?	€	€	€
11.	Does the proposal describe how confidentiality of the questionnaires will be maintained (i.e. will they be coded or anonymized)?	€	€	€
12.	Does the consent information and form state that the participant is free to not answer any question?	€	€	€
13.	Where applicable, does the informed consent form make it clear that the in-depth interview or focus group discussion is likely to be audio or video taped?	€	€	€
14.	Where applicable, does the consent form mention how and for how long these tapes are going to be stored?	€	€	€
Responsible Technical Officer's Comments:				

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Clinical Trials				
1.	Is this a new drug or vaccine trial?	€	€	€
2.	If applicable, is clearance from the national drug regulatory authority attached? *	€	€	€
3.	Is the Investigator's Brochure (including safety information) attached?	€	€	€
4.	Is the Adverse Drug Reaction/Adverse Event Reporting form attached?	€	€	€
5.	Has a Data Safety Monitoring Board been established	€	€	☐
6.	Are the names of the chairperson and members of the DSMB available for the records?	☐	€	€
Responsible Technical Officer's Comments:				
Human Biological Materials				
1.	Will human biological materials (tissues, cells, fluids, blood, genetic material or genetic information) be collected as part of the research?	€	€	€
2.	Does the consent information and form fully describe the nature, number and volume of the samples to be obtained and the procedures to be used for obtaining them?	€	€	€
3.	Does the consent information and form indicate if the procedures for obtaining these materials are routine or experimental and if routine, are more invasive than usual?	€	€	€
4.	Does the consent information and form clearly describe the use to which these samples will be put?	€	☐	☐
5.	Does the consent information and form include the provision for the subject to decide on the use of left-over specimens in future research of a restricted, specified or unspecified nature?	☐	☐	€
6.	Does the consent information and form cover for how long such specimens can be kept and how they will be finally destroyed?	€	€	€
7.	Does the proposal describe how specimens will be coded/anonimized	€	€	€
8.	Where applicable, does the consent form mention that genetic testing/genomic analysis will be carried out on the human biologic materials?	€	€	€
Responsible Technical Officer's Comments:				